1 Performance of the cobas [®] HBV RNA Automated Investigational Assa
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2 Detection and Quantification of Circulating HBV RNA in Chronic HBV Patients

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23 Abstract

24	Background. The amount of HBV RNA in peripheral blood may reflect HBV covalently closed circular
25	DNA (cccDNA) transcriptional activity within infected hepatocytes. Quantification of circulating HBV
26	RNA (cirB-RNA) is thus a promising biomarker for monitoring antiviral treatment.
27	Objectives. We evaluated the performance of an automated, prototype quantitative HBV RNA assay
28	for use on the Roche cobas ® 6800/8800 systems.
29	Study Design. The sensitivity, specificity, linearity, and potential interference by HBV DNA of the
30	cobas [®] HBV RNA assay were assessed using synthetic HBV armored RNA and clinical specimens.
31	Results . cobas [®] HBV RNA results were linear between 10 and 10 ⁷ copies/mL in clinical samples of
32	several HBV genotypes, and up to 10^9 copies/mL with synthetic RNA. Precision and reproducibility
33	were excellent, with standard deviation below 0.15 \log_{10} copies/mL and coefficients of variation
34	below 5% throughout the linear range. The presence of HBV DNA had minimal (<0.3 \log_{10} copies/mL)
35	impact on HBV RNA quantification at DNA:RNA ratios of up to approximately one million. In a panel
36	of 36 untreated patient samples, cirB-RNA concentrations were approximately 200-fold lower than
37	HBV DNA. cirB-RNA was detected in all 13 HBeAg-positive patients (mean 6.0 \log_{10} copies/mL), and in
38	20 of 23 HBeAg-negative patients (mean of quantifiable samples 2.2 \log_{10} copies/mL). Finally, cirB-
39	RNA was detected in 12 of 20 nucleoside analog-treated patients (mean of quantifiable samples 3.4
40	log ₁₀ copies/mL).
41	Conclusions. The cobas [®] 6800/8800 investigational HBV RNA assay is a high throughput, sensitive
42	and inclusive assay to evaluate the clinical relevance of cirB-RNA quantification in patients with
43	chronic hepatitis B.

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45 Keywords: HBV, hepatitis B virus, DNA, RNA, PCR, viral load

46 Background

47	Hepatitis B virus (HBV) circulating RNA (cirB-RNA) is a promising biomarker for definition of antiviral
48	treatment endpoints, since circulating pregenomic RNA (pgRNA) has been proposed to reflect the
49	pool of transcriptionally active covalently closed circular DNA (cccDNA) within infected hepatocytes.
50	Previous publications have indicated that serum HBV RNA levels have good predictive power for both
51	on-treatment serologic response and off-treatment durability [1-4]. Moreover, the combination of
52	undetectable cirB-RNA and HBV core-related antigens (HBcrAg) at the end of treatment was shown
53	to have a better predictive value for off-treatment outcomes than either biomarker alone [1]. In the
54	context of emerging antiviral agents [5], robust assays with high sensitivity and accuracy over a broad
55	linear range are crucial for assessment of antiviral drug mechanisms of action, the impact of the drug
56	on cccDNA transcriptional activity, and the ability to predict the achievement of treatment endpoints
57	[6, 7]. However, no standardized assay for quantifying cirB-RNA exists, which hampers widespread
58	application of cirB-RNA quantification in the clinical management of chronic hepatitis B (CHB)
59	patients. The majority of currently available tests have a lower limit of quantification (LLOQ) around
60	10 ³ copies/mL, although in-house RT-droplet digital PCR (ddPCR) assays [8] and the Abbott serum
61	HBV pgRNA assay [9] have LLOQ of approximately 10 ² copies/mL. This limitation might compromise
62	the diagnostic performance of this method, particularly among HBeAg-negative patients who often
63	present with very low cirB-RNA levels.

64 **Objectives**

In this study we assessed the analytical and clinical performance of the investigational cobas[®] HBV
RNA assay (cobas HBV RNA) on the cobas[®] 6800/8800 System.

67 Study Design

68 Synthetic RNA and DNA templates

- 69 Synthetic armored RNA (arRNA) containing 435 bp derived from the 3' end of HBV pgRNA, packaged
- in MS2-phage [10] was used for assay performance evaluation. arRNA was quantified by ddPCR
- 71 (BioRad) using primers and a probe in the precore/core region. Synthetic HBV DNA, encompassing
- 72 the same HBV sequence as the arRNA, was packaged in a lambda phage vector [11]. HBV DNA was
- 73 quantified using cobas® HBV for use on the cobas® 6800/8800 Systems (Roche Molecular
- 74 Diagnostics, Pleasanton, CA) which has an LLOQ of 10 international units (IU)/mL.

75 Patient samples

- 76 Clinical samples were from 56 patients included in the ANR-17-RHUS-0003 cirB-RNA cohort [12], who
- 77 provided written informed consent (see Supplemental Material for details of ethics considerations
- 78 and Table S1 for patient characteristics). Twenty of these patients were treated with nucleoside
- analogs (NUC) tenofovir or entecavir. A subset of the 56 patient samples was used for linearity (Table
- 80 S2) and method comparison experiments. HBV genotypes were determined using the ViroKey SQ
- 81 FLEX Genotyping Assay (Vela Diagnostics, Hamburg, Germany). The samples were tested for HBsAg,
- 82 HBeAg (Abbott Diagnostics, Des Plaines, IL, USA) and HBV DNA (cobas[®] HBV).

83 cirB-RNA measurement

The Roche HBV RNA investigational assay for use on the **cobas**[®] 6800/8800 Systems (cobas HBV RNA, Roche Diagnostics, Pleasanton, CA) quantifies HBV RNA in EDTA plasma or serum. The assay includes an internal control for nucleic acid recovery. The amplification target is located at the 3' end of HBV transcripts (Figure 1A), enabling it to detect all viral RNAs expressed from cccDNA. *In-silico* sequence analysis indicates that the assay is expected to perform equivalently on all HBV genotypes. Up to 93 samples can be tested in 3.5 hours on the **cobas**[®] 6800/8800 System. All tests in the present study

90	were performed	using the cobas [®] 680) by trained operator	rs according to the	manufacturers
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- 91 specifications. The cobas HBV RNA assay is not approved for clinical use by any regulatory body.
- 92 cobas HBV RNA is calibrated in units of copies/mL, based on an arRNA Roche internal standard
- 93 quantitated by ddPCR. One copy of RNA is defined to represent a similar number of RNA molecules
- as an international unit for DNA molecules, with awareness of an inherent uncertainty. This one-to-
- 95 one equivalence between DNA IU/mL and RNA copies/mL is helpful for comparisons between DNA
- 96 and RNA concentrations.

97 Analytical sensitivity

- 98 The limit of detection (LOD) of cobas HBV RNA was assessed using arRNA diluted in EDTA-plasma or
- serum to concentrations ranging from 1.25 to 20 copies/mL (forty-two replicates per concentration).

100 Linearity

- 101 arRNA was diluted in EDTA-plasma to target concentrations between 10 and 10⁹ copies/mL and
- 102 tested in duplicate. Linearity was also assessed using serial dilutions of seven patient samples with
- 103 high cirB-RNA loads including HBV genotypes A, B and E (one patient each), as well as C and D (two
- 104 patients each, with low and high DNA concentration).

105 Precision and reproducibility

- 106 Precision was evaluated using three dilutions of arRNA in plasma at approximately 10^2 , 10^4 , and 10^7
- 107 copies/mL, with 15 repeats each in the same run. Reproducibility was evaluated using two dilutions
- 108 of the arRNA, at approximately 10^3 and 10^6 copies/mL, on 20 different days.

109 Analytical Specificity

- 110 Specificity was assessed using 20 HBV-negative serum and plasma samples and 28 remnant samples
- 111 containing human immunodeficiency virus type 1 (n=13), hepatitis C virus (n=10), or hepatitis E virus
- 112 (n=5) (see Supplemental Material for details). Interference by HBV DNA was evaluated by spiking

- 113 negative plasma with 50 copies/mL of arRNA (5 X LLOQ) and synthetic HBV DNA at concentrations
- 114 from 10^3 to 10^7 IU/mL.

115 Method comparison

- 116 HBV RNA concentrations measured by cobas HBV RNA were compared to those from an in-house
- 117 ddPCR assay using primers and probes targeting the 3' end of HBV RNA transcripts (see Supplemental
- 118 Material for details).

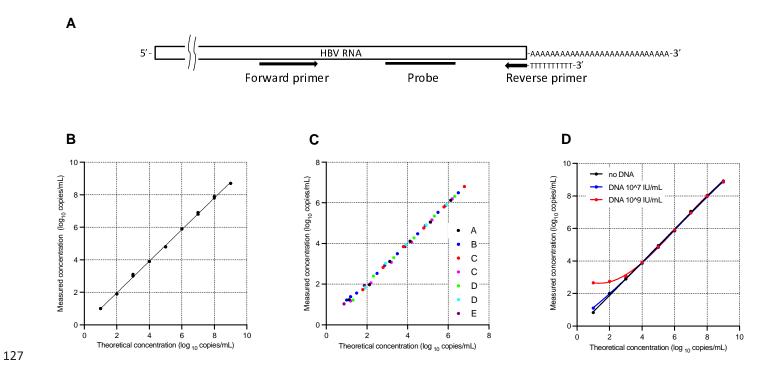
119 **Results**

120 Analytical sensitivity

- 121 The LOD based on arRNA was estimated to be 3.3 copies/mL (95% confidence interval: 2.6 to 4.8
- 122 copies/mL) using PROBIT analysis (95% reactive rate) and 5.0 copies/mL by hit rate. Results were
- 123 equivalent for plasma and serum (Table 1). The LOD was confirmed in clinical samples representing
- HBV genotypes A, B, C, D, E (Table S3).

125 Table 1. Analytical sensitivity

HBV RNA concentration (copies/mL)	N positive/N valid replicates (plasma)	% positive (plasma)	N positive/N valid replicates (serum)	% positive (serum)
20	84/84	100	84/84	100
15	84/84	100	84/84	100
10	83/83	100	84/84	100
5	82/84	97.6	83/84	98.8
2.5	75/83	90.4	75/84	89.3
1.25	65/84	77.4	49/84	58.3
0	0/84	0	0/84	0
LOD by PROBIT analysis (95% Reactive Rate)		3.3 copies/mL (95% CI: 2.6 – 4.8 copies/mL)		s/mL copies/mL)
LOD by Hit Rate	5 copies/mL	5 copies/mL (97.6%)		(98.8%)



126 Figure 1. cobas HBV RNA assay design and performance.

A. Schematic diagram of primers and probe used in cobas HBV RNA. B. Linearity assessed using 10-fold serial dilutions of arRNA from 10 to 10⁹ copies/mL. C. Linearity
 assessed using patient samples representing HBV genotypes A, B, C (2 patients), D (2 patients), and E diluted in negative plasma. Slopes of regression lines ranged from 0.97
 (genotype E) to 1.01 (genotype C, patient 1), Y-intercepts ranged from -0.12 (genotype C, patient 1) to 0.17 (genotype B), and R² values were all higher than 0.998. D: Impact
 of high HBV DNA concentration on HBV RNA quantification. HBV arRNA was diluted in series from 10⁹ to 10 copies/mL in EDTA-plasma without (black) or with synthetic HBV
 DNA at fixed concentrations (red: 10⁹ IU/mL, blue: 10⁷ IU/mL).

133 Linearity

- 134 The dynamic range of quantification using arRNA was 10 to 10⁹ copies/mL (slope 0.98, Y-intercept -
- 135 0.036, R² 0.9992; Figure 1B). Results from clinical samples were linear between 10 and 10⁷ RNA
- 136 copies/mL for all genotypes tested (Figure 1C). These data also establish the lower limit of
- 137 quantification (LLOQ) as 10 copies/mL, the lower end of the linear range.

138 Precision and reproducibility

- Assay precision was high, with a coefficient of variation of 4.7% for the low concentration sample (~2
- 140 log₁₀ copies/mL) and <0.7% for higher concentrations (Table 2, Figure S1). All replicates gave a result
- 141 that was less than 0.2 \log_{10} copies/mL different from the median, and \geq 95% of results were within
- 142 0.08 to 0.27 log₁₀ copies/mL of each other. For reproducibility the coefficient of variation was 3.1% at
- 143 3 log₁₀ copies/mL and 2.3% at 6 log₁₀ copies/mL, with standard deviation below 0.15 log₁₀ copies/mL
- 144 (Table 2, Figure S1). Only one replicate (out of 21 replicates at 6 log₁₀ copies/mL) gave a result that
- 145 was more than $0.2 \log_{10}$ copies/mL different from the median, and $\geq 95\%$ of results were within 0.36
- 146 log₁₀ copies/mL of each other.
- 147

148 Table 2. Precision and reproducibility

	Target RNA level (log ₁₀ copies/mL)	N replicates	Measured concentration (SD) (mean log ₁₀ copies/mL)	Coefficient of variation (%)	Range covering 95% of results ª(log ₁₀ copies/mL
Precision	2.0	15	1.91 (0.09)	4.7 %	0.27
	4.0	15	3.89 (0.03)	0.7 %	0.08
	7.0	15	6.86 (0.04)	0.6 %	0.15
Reproduc	3.0	21	2.94 (0.09)	3.1 %	0.27
ibility	6.0	20	5.71 (0.14)	2.4 %	0.36

149 ^a difference between 2.5 – 97.5 percentiles

150 Analytical Specificity

- 151 cirB-RNA was undetectable in samples lacking HBV. HBV RNA concentrations measured in plasma
- and serum samples from HBV infected patients were equivalent (Figure S2).
- 153 Specificity for HBV RNA was assessed by adding HBV DNA at high concentrations (from 10^3 to 10^7
- 154 IU/mL) to samples with cirB-RNA concentrations around the LLOQ (50 copies/mL). Measured HBV
- 155 RNA concentrations were higher by only 0.03 to 0.09 log₁₀ copies/mL in the presence of added DNA
- 156 (Table 3).

157 Table 3. Impact of varying concentrations of HBV DNA on low concentration

158 HBV RNA quantification

HBV arRNA concentration (log ₁₀ copies/mL)	HBV DNA concentration (log ₁₀ IU/mL)	Observed RNA concentration ^a (log ₁₀ copies/mL)	Difference (mean observed - no DNA reference)
1.70	0	1.81	n/a
1.70	3.0	1.85	0.04
1.70	4.0	1.87	0.06
1.70	5.0	1.84	0.03
1.70	6.0	1.89	0.08
1.70	7.0	1.90	0.09
_			

159 ^a mean concentration from 15 replicates

160

161 We also assessed the impact of adding exogenous HBV DNA to dilutions of arRNA. With 7.0 log₁₀

162 IU/mL HBV DNA, there was no effect on cirB-RNA quantification (observed – expected RNA

163 concentration <0.1 log₁₀ copies/mL) when the RNA concentration was 2 log₁₀ copies/mL or higher,

and a minimal effect (0.27 log₁₀ copies/mL) at 1.0 log₁₀ copies/mL, where the DNA to RNA ratio was

165 10⁶ (Figure 1D). With HBV DNA at 9.0 log₁₀ IU/mL, cobas HBV RNA was unaffected at 4 log₁₀

166 copies/mL or higher, and affected slightly (0.19 log₁₀ copies/mL) at 3 log₁₀ copies/mL where the DNA

167 to RNA ratio was 10⁶ (Figure 1D). At the highest DNA:RNA ratio (10⁸), the difference was 1.83 log₁₀

168 copies/mL).

169	Finally, we	assessed the	extent to which	HBV DNA ii	nterferes with	cirB-RNA	quantification in	n the

- 170 serially diluted clinical samples previously described (Figure 1C). Measured and expected cirB-RNA
- 171 concentrations were not statistically significantly different (mean difference 0.004 log₁₀ copies/mL, P
- 172 value 0.73) regardless of dilution factor and resulting HBV DNA concentration. Notably, in the two
- 173 samples from NUC-treated patients with relatively low DNA concentrations (one each for genotype C
- and D; see Table S2), the difference between the measured and expected RNA concentration at
- dilutions where the expected DNA concentration was below 10 IU/mL (0.005 log₁₀ copies/mL) was
- essentially the same as in dilutions with expected DNA concentrations higher than 10 IU/mL (-0.009
- 177 log₁₀ copies/mL; P value 0.88) (data not shown).

178 Method comparison

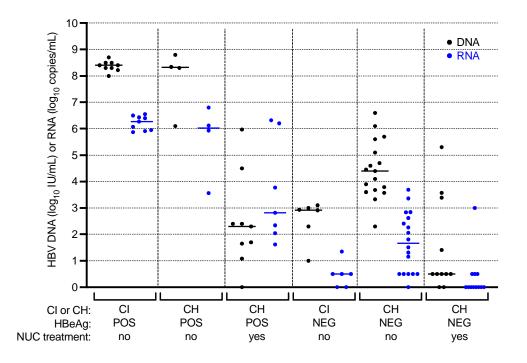
- 179 arRNA concentrations measured with cobas HBV RNA and an in-house ddPCR assay were highly
- 180 correlated (Figure S3).
- 181 For research purposes that do not require high throughput and automation, a manual version of this
- assay was developed. Results obtained with this manual assay were highly correlated with those
- 183 obtained with cobas HBV RNA (Figure S4).

184 Evaluation of patient samples

- 185 We measured cirB-RNA levels in 56 clinical samples, from 36 untreated and 20 NUC-treated, HBV-
- 186 infected patients. The samples were selected for their genotype representativity (A to G) and to
- 187 cover a wide HBV DNA concentration range within the different phases of HBV disease [13]. HBV DNA
- 188 levels ranged from undetectable to 8.8 log₁₀ IU/mL (mean of the quantifiable samples 4.9 log₁₀
- 189 IU/mL; Figure S4).
- 190 Among the 36 untreated patients, all HBeAg(+) patients were cirB-RNA positive with quantifiable
- values (Figure 2). Mean cirB-RNA levels in untreated HBeAg(+) CH and Cl patients were 5.6 log₁₀
- 192 copies/mL and 6.2 log₁₀ copies/mL, respectively (P value 0.21, t-test). cirB-RNA could be quantified in
- 193 11 of 17 (65%) untreated HBeAg(-) CH and one of six (17%) HBeAg(-) CI patients. In samples with

- quantifiable cirB-RNA, mean cirB-RNA levels were higher in HBeAg(+) vs HBeAg(-) patients (6.0 log₁₀
- 195 vs 2.2 log₁₀ copies/mL; p<0.0001). Amongst 33 samples with both RNA and DNA levels over the LLOQ,
- 196 mean cirB-RNA concentrations were 2.4 log₁₀ copies/mL lower than mean HBV DNA levels, with no
- 197 significant difference between disease phases or genotypes. The difference between DNA and RNA
- 198 concentrations in untreated patients ranged from 1.5 to 3.4 log₁₀ copies/mL.
- 199 In the 20 NUC-treated patients, the mean of 12 DNA concentrations above the LLOQ was 3.0 log₁₀
- 200 IU/mL. cirB-RNA was detected in 12 patients; the mean RNA concentration of the nine results above
- 201 the LLOQ was 3.4 log₁₀ copies/mL. Two of these 12 patients had undetectable DNA viral load and five
- 202 had higher cirB-RNA than HBV DNA concentration.





Plasma HBV DNA viral load (black) and HBV cirB-RNA (blues) levels in 56 patients plotted according to EASL
disease phase, HBeAg seropositivity, and NUC treatment status. For illustrative purposes HBV DNA and cirBRNA values < LOD were assigned a value of 0 IU or copies/mL, and those < LLOQ but > LOD were assigned a
value of 0.5 log₁₀ IU or copies/mL. CI: chronic infection; CH: chronic hepatitis. See Supplemental Material Figure
S5 for representation of the different HBV genotypes included.

210 **Discussion**

211	Several reports have highlighted the potential of cirB-RNA quantification to serve as a surrogate
212	marker for intrahepatic cccDNA transcriptional activity [14, 15] and assessment of antiviral efficacy
213	[16-18]. In a multicenter prospective cohort study, non-cirrhotic patients with undetectable HBV DNA
214	and cirB-RNA at the end of NUC treatment had significantly lower risk of viral relapse in long-term
215	follow-up compared with those who had detectable serum HBV DNA or RNA [2]. Therefore, a
216	sensitive and reliable method for detection of HBV RNA may assist decisions about when to stop NUC
217	therapy.
218	In recent years, various RT-qPCR-based quantitative methods for serum HBV RNA have been
219	developed, usually with a LLOQ around 1000 copies/mL. The use of digital PCR allowed the reduction
220	of this threshold down to 100 copies/mL [8]. The Roche cobas® HBV RNA investigational assay,
221	developed for use on the high-throughput automated cobas ® 6800/8800 platforms, displays highly
222	sensitive and reproducible measurement of cirB-RNA with a LOD less than 5 copies/mL and linear
223	range of 10 to at least 10 ⁷ copies/mL in a broad range of HBV genotypes. This high sensitivity and
224	genotype inclusivity is essential for monitoring antiviral drug efficacy and identification of patients at
225	risk of reactivation when discontinuing antiviral treatment [19]. The fully automated cobas HBV RNA

226 workflow enables high-throughput testing with minimal hands-on time. This assay does not require

227 dilution of high viral loads samples to retain accuracy, contrary to the situation for ddPCR-based

228 assays [8, 20].

Because of the common observation of high concentrations of HBV DNA in clinical samples, it is important to understand the degree to which RNA quantification by any molecular assay is impacted by DNA that contains the same target sequence. Our data demonstrate that RNA concentration measurements by cobas HBV RNA is not affected by the presence of up to 10⁶ times more DNA than RNA. Even at DNA:RNA ratios of approximately 10⁷, only modest differences were observed, which

are unlikely to have clinical significance. In clinical practice it is extremely rare to observe DNA:RNA
 ratios greater than 10⁵ [21, 22].

236	Another automated assay for quantification of cirB-RNA has been described [9, 23]. Here we report
237	precision and reproducibility with SD less than 0.15 \log_{10} and 5% CV throughout the dynamic range.
238	Given the different amplification strategies used by these two assays, head-to-head comparisons
239	using paired serum and liver samples and more detailed determination of the RNA species detected
240	will be of importance to determine which assay best reflects cccDNA load and transcriptional activity.
241	Differences in sample preparation and assay conditions (e.g. for nucleic acid amplification and
242	detection) likely contribute to variability in quantitative molecular test results, which can be up to
243	100-fold using various WHO international standards [24-26]. The availability of an international
244	standard is mandatory to properly compare results obtained from different studies [6, 7, 25]. The
245	establishment of such a standard would enable a better interpretation of results generated with tests
246	targeting different parts of the HBV genome. It is important to characterize how consistent, intact
247	and stable the RNA component of the WHO DNA international standard may be, if it is intended to be
248	used for HBV RNA standardization.
249	We demonstrated the utility of cobas HBV RNA using a panel of clinical samples encompassing a wide
250	range of genotypes. We observed higher HBV DNA than cirB-RNA concentrations in untreated
251	patients, similar to other reports [9, 16, 27, 28]. While it has been previously reported that HBV
252	genotypes might have an influence on cirB-RNA levels [29], we did not observe any difference in RNA
253	quantification or linearity based on genotype in our limited number of samples tested. Our data
254	confirm previous reports that there are diverse patterns of cirB-RNA levels during the natural history
255	of HBV infection, with higher cirB-RNA in HBeAg(+) patients indicating a higher degree of cccDNA
256	transcriptional activity [15, 22, 30, 31].
257	

In conclusion, the cobas HBV RNA investigational assay meets requirements related to automation,
 precision, sensitivity, specificity, linear range, and genotype inclusivity. Further studies in large

- 259 cohorts of chronic hepatitis B patients, including clinical trials of drugs with novel modes of action
- aimed at HBV cure [5], are warranted to validate cobas HBV RNA as a tool for assessment of the
- 261 clinical relevance of the cirB-RNA biomarker.

262

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268 Author contributions

- 269 Writing—original draft: CS. Writing—review and editing: CS, MLP, BT, FZ, ML, AH, MH.
- 270 Conceptualization: CS, AA, FZ, ML, MH. Patient inclusion MS, JF, FZ, ML. Data acquisition: CS, AB, CC,
- 271 FB, MLP. Data analysis CS, BT, FZ, AA, ML, MH, MLP. Funding acquisition: ML, FZ, MH. All authors
- approved the final version to be submitted.

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